

UNITED STATES DEPARTMENT OF COMMERCE  
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24\$  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/419,164 10/15/99 KORI

0232/1105

SONYJP-3.0-069

LERNER DAVID LITTENBERG  
KRUMHOLZ & MENTLIK LLP  
600 SOUTH AVENUE WEST  
WESTFIELD NJ 07090

NOT ASSIGNED

2711

DATE MAILED:

11/05/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1178

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 288 for 16 total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

UNBLACK

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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126.00 CH  
02 FEB 10 2000  
03 FEB 10 2000



sector #

PATENT  
SONYJP 3.0-089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: :  
Kori et al. :  
Application No.: 09/419,164 : Group Art Unit: 2711  
Filed: October 15, 1999 : Examiner:  
For: SIGNAL CONVERSION APPARATUS : Date: January 21, 2000  
AND METHOD :  
X

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF EXECUTED DECLARATION

Sir:

In response to the Notice to File Missing Parts dated November 5, 1999 (a copy of which is enclosed herewith), Applicant herewith encloses the original executed Declaration for the above-identified patent application which was filed on October 15, 1999. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 to cover the surcharge for filing a Declaration on a date later than the filing date of the application. Also enclosed is a one-month Extension Petition.

Also indicated on the Notice to File Missing Parts of Application is that the statutory basic filing fee and additional claim fee is missing. As indicated on the transmittal letter, the Patent Office is authorized to charge our Deposit Account No. 12-1095 in the amount of \$1,048.00.

If there are any additional fees, please charge them

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on January 21, 2000.

(Signature)

ROBERT B. COHEN

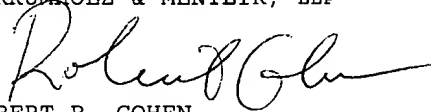
Typed or Printed Name of Person Signing Certificate

Application No. 09/419,164

to our Deposit Account No. 12-1095.

Respectfully submitted,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

A handwritten signature in dark ink, appearing to read "Robert B. Cohen", is written over the printed name.

ROBERT B. COHEN  
Reg. No. 32,768

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